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International Application No

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Priority Date Claimed

METHOD OF MODIFYING CYTOTOXIC CELLS AND USES THEREOF

Title of Invention

Daniela Santoli, Giovanni Rovera, and Alessandra Cesano

Applicant(s) for EO/US

Box PCT

Assistant Commissioner for Patents

Washington, DC 20231

Attn: EO/US

Sir:

Applicant herewith submits to the United States Elected Office  
(EO/US) the following items under 35 USC 371:

- (1) This express request to immediately begin national examination procedures (35 USC 371(f)).
- (2) A copy of the cover sheet for the published International Application along with a copy of the specification as filed: 42 pages, including 4 pages of claims, 16 sheets of drawings, and a copy of the 3 page International Search Report.
- (3) a copy of the 6 page Request form.
- (4) a Preliminary Amendment.
- (5) our check in the amount of \$434.00, covering the basic national fee as set forth in 37 CFR 1.492(a)(1) and based on the first Preliminary Amendment (21 total claims; 5 independent; and no multiple dependent).
- (6) Two (2) pages executed Combined Declaration and Power of Attorney form.

Express Mail No. ET033647367US

09/913911  
518 Rec'd PCT/PTO 21 AUG 2001

Copies of the following miscellaneous items are also enclosed:

- (7) Copy of the 4 page Demand for International Preliminary Examination.
- (8) Copy of the 4 page Written Opinion.
- (9) Copy of the 4 page International Preliminary Examination Report.

Please charge any additional fees which may be required to effect entry into the National Phase and credit any overpayment to Deposit Account No. 08-3040.

Please direct all communications concerning this application to the undersigned.

Respectfully submitted,

HOWSON AND HOWSON  
Attorneys for the Applicants

By Mary E. Bak  
Mary E. Bak  
Registration No. 31,215  
Spring House Corporate Center  
Box 457  
Spring House, PA 19477  
Telephone: (215) 540-9206  
Telefacsimile: (215) 540-5818

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In re the Application of	) Group Art Unit.
	)
Daniela Santoli et al	) Examiner:
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Appln. No.	)
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Filed. Herewith	)
	)
For: METHOD OF MODIFYING	) August 21, 2001
CYTOTOXIC CELLS AND USES	)
THEREOF	)

Assistant Commissioner for Patents  
Washington, DC 20231

**PRELIMINARY AMENDMENT**

Sir:

Please amend the application as follows.

In the Specification

Page 1, line 3, before "Field of the Invention", insert the following new paragraph:

-- Cross-Reference to Related Applications

This is a 371 of PCT/US00/04548, filed February 23, 2000 which claims the benefit of the priority of US Patent Application No. 60/121,482, filed February 24, 1999. --

Please enter the attached Abstract of the Disclosure on the attached page as new page 22.

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REMARKS

Upon entry of this preliminary amendment, the claims pending are claims 1-21. No new matter is introduced by this preliminary amendment

The attached Abstract of the Disclosure is supported throughout the specification.

The Director of the U. S. Patent and Trademark Office is hereby authorized to charge any deficiency in any fees due with the filing of this paper or credit any overpayment in any fees paid on the filing, or during prosecution of this application to Deposit Account No. 08-3040.

Respectfully submitted,

HOWSON AND HOWSON  
Attorneys for Applicant

By Mary E. Bak  
Mary E. Bak  
Registration No. 31,215  
Spring House Corporate Center  
Box 457  
Spring House, PA 19477  
Telephone: (215) 540-9200  
Telefacsimile: (215) 540-5818

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METHOD OF MODIFYING CYTOTOXIC CELLS  
AND USES THEREOF

Field of the Invention

The invention relates generally to the  
5 modification of cytotoxic T cells by treatment with a  
selected cytokine, and to the use of such modified cells in  
cancer therapy.

Background of the Invention

The human T cell line TALL-104 (CD3/TCR $\alpha\beta$ 'CD8'CD16<sup>-</sup>)  
10 [A. Cesano et al, In Vitro Cell. Dev. Biol., 28A:648 (1992);  
A. Cesano et al, J. Immunol., 151:2943-2957 (1993); and A.  
Cesano et al, Cancer Immunol. Immunoth., 40:139 (1995)] is  
endowed with MHC non-restricted killer activity and has been  
reported as useful, when lethally irradiated, against a broad  
15 range of tumors across several species, while sparing cells  
from normal tissues. As taught by the inventors' prior  
publications and patents cited above, unmodified TALL-104  
cells are available from the American Type Culture  
Collection, 10801 University Boulevard Manassas, VA 20110-  
20 2209 under Accession Number CRL 11386 and are described in  
U.S. Patent No. 5,272,082. These cells may be preferably  
modified by lethal  $\gamma$ -irradiation and/or by stimulation in the  
cytokine Interleukin 2 (IL-2) or Interleukin 12 (IL-12) to  
provide them with an increased cytotoxicity against tumor and  
25 virus-infected targets.

Such modification methods have been described in  
detail in International Patent Publication No. WO94/26284,  
published November 24, 1994, which is incorporated by  
reference herein. For example, one modification step  
30 includes *in vitro* treatment of the TALL-104 cells with one or  
both of the two interleukins, recombinant human (rh) IL-2 and  
rhIL-12. When used independently to treat the cell line,  
IL-2 and IL-12 can induce the cell line's cytotoxic activity.  
When these cytokines are used together to modify the cell  
35 line, the modified cell line displays additive or increased

cytotoxic effects. This results in a significant increase in cytotoxic activity and recycling capability, ultimately leading to 100% elimination of tumor targets at an E:T ratio <0.1:1 [Cesano et al, J. Immunol., 151:2943 (1993)].

5 Another known modification step involves the exposure of the TALL-104 cell line to lethal irradiation to confer irreversible loss of growth capability with full retention of cytotoxic activity, both *in vitro* and *in vivo*. This is achieved by subjecting the cell line to  $\gamma$ -irradiation just prior to its use. Preferably, the cells are irradiated at 4000 rads using a  $^{137}\text{Cs}$  source. As described in International Patent Publication No. WO94/26284, irradiation of TALL-104 cells provides a modified cytotoxic cell line that has lost its proliferative ability and, therefore, the possibility of growing in an unrestrained fashion in the recipient organism. These modified TALL-104 cells have been used in methods for the treatment of various cancers in humans and animals. See, also, US Patent Nos. 5,683,690; 5,702,702 and 5,820,856, and International patent publication No. WO98/48630, all incorporated herein by reference.

10 Other cytotoxic cells have also been described, such as the TALL-103/2 cells. See, US Patent No. 5,272,082 and A. Cesano et al, J. Immunol., 151:2943-2957 (1993); S. Visonneau et al, Cell Immunol., 165:252-265 (1995); and A. Cesano et al, J. Immunol., 160:1106-1115 (1998). However, TALL-103/2 cells, stimulated with IL-2 or IL-12, have been noted to have a limited spectrum of tumor target reactivities and display low levels of killing. These cells do not grow in severe combined immuno-deficient (SCID) mice. Thus, at present, TALL-103/2 cells have not appeared promising for clinical use.

25 Among the known cytokines, Interleukin-15 (IL-15) is a relatively novel T cell growth factor that shares some activities and receptor components with IL-2 [US Patent No. 5,747,024; J. G. Giri et al, J. Leuko. Biol., 57(5):763-6

(May 1995); L. S. Quinn et al, Endocrinol., 136(8):3669-72 (Aug. 1995)]. IL-15 utilizes the  $\beta$  and  $\gamma$  chains of the IL-2 receptor for signal transduction, but uses a different subunit ( $\alpha$ ) to bind to the cells. The expression pattern of IL-15  $\alpha$  receptor is distinct from that of IL-2  $\alpha$  receptor. IL-15 has been shown to induce LAK cell functions *in vitro* at high doses of about 100 ng/ml by a CD18-dependent, perforin-related mechanism [A. M. Gamero et al, Cancer Res., 55(21):4988-94 (Nov. 1995)]. IL-15 is produced by monocytes and dendritic cells and has been shown to induce cytokine production in human T helper cells, and adhesion receptor redistribution in T lymphocytes. It has been described to stimulate proliferation of  $\gamma\delta$  T cells and act synergistically with other stimuli in inducing lymphokine production thereby [See, also, W. E. Carson et al, J. Clin. Invest. 96(6):2578-82 (Dec. 1995); H. Jonuleit et al, J. Immunol., 158(6):2610-5 (Mar. 15, 1997); V. E. Garcia et al, J. Immunol., 160(9):4322-9 (May 1998); A. Mori et al, J. Immunol., 156(7):2400-5 (Apr. 1996); M. Nieto et al, Euro. J. Immunol., 26(6):1302-7 (June 1996); M. K. Kennedy et al, J. Clin. Immunol., 16(3):134-43 (May 1996)]. IL-15 has also been described as a vaccine adjuvant [US Patent No. 5,747,024], a therapeutic [US Patent No. 5,660,824], and an inducer of angiogenesis [A. L. Angiolillo et al, Biochem. Biophys. Res. Comm., 233(1):231-7 (Apr. 7, 1997)]. IL-15 has been said to have IL-2-like stimulating activities on T lymphocytes and NK cells [P. Allavena et al, J. Leuko. Biol., 61(6):729-35 (June 1997); J. P. DiSanto, Current Biol., 7(7):R424-6 (July 1, 1997); R. Evans et al., Cell. Immunol., 179(1):66-73 (Jul. 10, 1997)].

There exists a need in the art for methods for further enhancing the characteristics of cytotoxic T cells useful for therapy.

Summary of the Invention

In one aspect, the invention provides a method of modifying, or reversibly modifying, the phenotype and function of cytotoxic T cells while retaining the cytotoxicity of the cells comprising the steps of:

(a) culturing said cells in an effective amount of IL-15 thereby obtaining a high yield of a cell having a first phenotype;

(b) culturing the IL-15 stimulated cells in an effective amount of IL-2, thereby altering the first phenotype to a second phenotype; and

(c) optionally repeating steps (a) and (b) a selected number of times.

In another aspect, the invention provides a method of modifying a cytotoxic T cells while retaining the cytotoxicity of the cells comprising the steps of:

(a) culturing said cells in an effective amount of IL-2, thereby obtaining a first modified cell;

(b) culturing the IL-2 stimulated cells in an effective amount of IL-15; thereby obtaining a second modified cell; and

(c) optionally repeating steps (a) and (b) a selected number of times.

The first and second modified cells from either method above demonstrate a change in at least one characteristic, such as increased proliferation, differentiation, growth, phenotype, adhesion molecule expression, biodistribution, cytokine production profile, level of cytotoxic activity, and tumor target spectrum.

Desirably the cells are TALL-104 cells or TALL-103/2 cells.

In one embodiment of the first method, TALL-104 cells are cultured in an effective amount of IL-15, wherein said cells grow at a rate faster than when stimulated by IL-2, and have an altered phenotypic profile; and then the IL-15 stimulated TALL-104 cells are cultured in an effective amount of IL-2. In an embodiment of the second embodiment, the



modification of cell characteristics is accomplished by first culturing TALL-104 cells in an effective amount of IL-2 and then culturing the IL-2 stimulated TALL-104 cells in an effective amount of IL-15.

5 In yet another aspect, the invention provides a method of modifying TALL-104 cells comprising culturing TALL-104 cells in an effective amount of IL-15, wherein said cells grow at a rate faster than when stimulated by IL-2, and have an altered phenotypic, cytotoxic and cytokine profile. The  
10 modified cells have an increased level of cytotoxicity or another change in a characteristic such as increased proliferation, differentiation, growth, phenotype, adhesion molecule expression, biodistribution, cytokine production profile, and tumor target spectrum. In one embodiment of  
15 this method the cytokine profile includes increased expression of IL-10, GM-CSF, TNF- $\alpha$  and TNF- $\beta$  and decreased expression of gamma interferon (IFN- $\gamma$ ) by the modified TALL-104 cells. In another embodiment, the modified phenotype includes increased expression of the cytotoxic  
20 adhesion/activation marker CD56 and/or decreased expression of the adhesion molecule CD38.

In still another aspect, the invention provides a method for increasing the levels of cytotoxic activity and spectrum of tumor target recognition of TALL-103/2 cells  
25 comprising culturing TALL-103/2 cells in an effective amount of IL-15, wherein said cells grow at a faster rate and have an expanded tumor target spectrum of cytotoxicity than when stimulated by IL-2.

In yet a further aspect, the invention provides  
30 modified TALL-104 cells, which are produced by stimulating said cells in an effective amount of IL-15.

In another aspect, the invention provides modified TALL-103/2 cells having an increased cytotoxicity, which are produced by stimulating said cells in an effective amount of  
35 IL-15.

Other aspects and advantages of the present invention are described further in the following detailed description of the preferred embodiments thereof.

#### Brief Description of the Drawings

Fig. 1 is a graph which illustrates that IL-15 supports greater TALL-104 cell proliferation *in vitro*. The symbol □ indicates the 5 ng/ml dosage of IL-15; the symbol ◊ indicates the 100 U/ml dosage of IL-2. Growth of cells is measured by a metabolic surrogate marker, lactate (mg/dl), over days in culture.

Fig. 2 is a bar graph illustrating that upon expansion of TALL-104 cells *in vivo* in SCID mice and re-adaptation to tissue culture conditions, IL-15 induces quicker differentiation of TALL-104 cells into cytotoxic cells in comparison to the effects of IL-2. Undifferentiated TALL-104 cells, extracted from SCID mouse spleens, were cultured for one week with either IL-2 or IL-15, and then tested for cytotoxicity against either K562 or Raji tumor cells. Cytotoxicity is demonstrated by percent lysis of the tumor cells. The open bar indicates IL-2 treatment; the striped bar indicates IL-15 treatment. TALL-104 cells stimulated in IL-15 also show higher levels of cytotoxic molecules such as perforin, serine esterases (SE) and TIA-1, an apoptosis inducing molecule.

Fig. 3 is a graph indicating that IL-15 induces higher expression of cytotoxic adhesion/activation marker CD56, both as percent of positive cells in the total TALL-104 population and at the single cell level, as the number of molecules present on each cell (higher density). The symbol □ indicates IL-2; the symbol ◊ indicates IL-15. Results are plotted as % CD56+ cells over time (days) in culture.

Fig. 4 is a graph demonstrating that undifferentiated TALL-104 cells freshly obtained from the SCID mouse have a higher expression of CD2 and that with time in culture CD2 surface levels decline. However, this decline

is slower in TALL-104 cells grown in IL-15 than in IL-2. The symbol  $\square$  indicates IL-2; the symbol  $\diamond$  indicates IL-15. Results are plotted as % CD2+ cells over time (days) in culture.

5            Fig. 5 is a graph demonstrating that TALL-104 cells grown in IL-15 have lower expression of the adhesion molecule CD38. The symbol  $\square$  indicates IL-2; the symbol  $\diamond$  indicates IL-15. Results are plotted as % CD38+ cells over time (days) in culture.

10           Fig. 6 is a bar graph illustrating the comparative effects of IL-15 and IL-2 on the induction of GM-CSF from TALL-104 cells. On the X axis are the stimuli used to trigger GM-CSF production. The graph shows that TALL-104 cells grown in IL-15 have a baseline level of GM-CSF  
15           production, and respond to OKT3 monoclonal antibody with higher production of GM-CSF than cells grown in IL-2.

            Fig. 7 is a bar graph illustrating the comparative effects of IL-15 and IL-2 on the induction of IL-10 from TALL-104 cells. On the X axis are the stimuli used to  
20           trigger IL-10 production. The graph shows that TALL-104 cells grown in IL-15 have a baseline level of IL-10 production, and respond to OKT3 monoclonal antibody with higher production of IL-10 than cells grown in IL-2.

            Fig. 8 is a bar graph illustrating the comparative  
25           effects of IL-15 and IL-2 on the induction of TNF- $\alpha$  from TALL-104 cells. On the X axis are the stimuli used to trigger TNF- $\alpha$  production. The graph shows that TALL-104 cells grown in IL-15 have a baseline level of TNF- $\alpha$  production, and respond to OKT3 monoclonal antibody with  
30           higher production of TNF- $\alpha$  than cells grown in IL-2.

            Fig. 9 is a bar graph illustrating the comparative effects of IL-15 and IL-2 on the induction of TNF- $\beta$  from TALL-104 cells. On the X axis are the stimuli used to trigger TNF- $\beta$  production. The graph shows that TALL-104

cells grown in IL-15 have a baseline level of TNF- $\beta$  production, and respond to OKT3 monoclonal antibody with higher production of TNF- $\beta$  than cells grown in IL-2.

Fig. 10 is a bar graph illustrating the comparative effects of IL-15 and IL-2 on the induction of IFN- $\gamma$  from TALL-104 cells. On the X axis are the stimuli used to trigger IFN- $\gamma$  production. The graph shows that TALL-104 cells grown in IL-15 have a baseline level of IFN- $\gamma$  production, and respond to OKT3 monoclonal antibody with lower production of IFN- $\gamma$  than cells grown in IL-2.

Fig. 11 is a graph which illustrates that IL-15 supports the growth of TALL-103/2 cells in culture. The symbol  $\square$  indicates the dosage of IL-2 in U/ml; the symbol  $\triangle$  indicates the dosage of IL-15 in ng/ml. Growth of cells is indicated by cpm in  $^3\text{H}$ -TdR proliferation assays. The X axis shows the concentration of the cytokines.

Fig. 12 is a bar graph demonstrating that IL-15 (stripplled bars) supports the cytotoxic phenotype of TALL-103/2 cells and broadens the spectrum of target recognition by these cells. The cells were cultured for a week in either IL-15 or IL-2 (clear bars), and then exposed to K562 tumor cells (sensitive target) and H160 or Daudi tumor cells (resistant targets). Cytotoxicity is measured by % lysis of the target cells in  $^{51}\text{Cr}$  release assays.

Fig. 13 is a bar graph which illustrates the comparative effect of IL-2 and IL-15 on the induction of IFN $\gamma$  in TALL-103/2 cells. The X axis shows the cytokine dosage. Results show that IL-2 is a better inducer of this cytokine.

Fig. 14 is a bar graph which illustrates the comparative effect of IL-2 and IL-15 on the induction of TNF- $\beta$  in TALL-103/2 cells. The X axis shows cytokine dosage. IL-15 induces higher levels of TNF- $\beta$  at the concentration of 10  $\mu\text{g}/\text{ml}$ .

Fig. 15 is a bar graph which illustrates the comparative effect of IL-2 and IL-15 on the induction of TNF- $\alpha$  in TALL-103/2 cells. Similar levels of TNF- $\alpha$  are induced by the two cytokines.

Fig. 16 is a bar graph which illustrates the comparative effect of IL-2 and IL-15 on the induction of IL-10 in TALL-103/2 cells. IL-15 is a better inducer of IL-10 as compared to IL-2.

#### Detailed Description of the Invention

Cytotoxic T cell lines, such as TALL-104, have found use in clinical settings, such as the treatment of cancers, when administered *in vivo*, or when employed in *ex vivo* therapeutic regimens. Still other cytotoxic T cell lines, such as TALL-103/2 could be clinically useful if their target specificity was broadened and their growth in culture improved. The inventors have now discovered novel methods for increasing the cytotoxicity of these cells, altering their phenotypes and spectrum of target recognition, and, increasing their yield in culture.

Such modifications can be introduced to TALL-104 or TALL-103/2 cells, and are anticipated to be introduced to other cytotoxic T cells by stimulating the cells in IL-15, rather than, or in addition to, IL-2. Such IL-15 stimulation may optionally be followed by exposing the stimulated TALL-104 cell line to lethal irradiation to confer irreversible loss of growth capability with retention of cytotoxic activity, both *in vitro* and *in vivo*. This may be achieved by subjecting the cell line to  $\gamma$ -irradiation just prior to its use. Preferably, the cells are irradiated at 4000 rads using a  $^{137}\text{Cs}$  source, similar to the process described in International Patent Publication No. WO94/26284. Such irradiation of the IL-15 stimulated TALL-104 cells provides a modified cytotoxic cell line that has lost its proliferative ability and, therefore, the possibility of growing in an unrestrained fashion in the recipient organism.

Thus, in one embodiment, TALL-104 cells are prepared as follows. TALL-104 cells (ATCC CRL 11386) are exponentially grown in tissue culture in the presence of recombinant human (rh) IL-15. The resulting proliferation of the cytokine stimulated TALL-104 cells (as measured by <sup>3</sup>H-TdR uptake) is higher at plateau doses of IL-15 than at plateau doses of IL-2. A "plateau dose" is the dose at which maximal activity is reached, e.g., the optimal dose (see Fig. 1). These cells also demonstrate increased ability to adhere to plastic *in vitro* (and potentially to endothelium *in vivo*) by increase in expression of adhesion molecules. These modified TALL-104 cells also demonstrate increased cytotoxic function, as shown by higher levels of killing, increased spectrum of tumor target recognition, and a quicker and more effective kinetic of induction of lytic proteins, such as PFP, SE1 and SE2, and TIA1. Cells grown in an optimal dose of IL-15 generally show higher levels of cytotoxic activity, as compared to the same cells in an optimal dose of IL-2 (see Fig. 2, which demonstrates a significant increase in cytotoxicity against NK-sensitive K562 cells and NK-resistant Raji cells, as compared to the same TALL-104 cells stimulated in IL-2). The cells also demonstrate an increased expression of the cytotoxic adhesion marker CD56 (Fig. 3). TALL-104 cells grown in IL-15 have higher baseline levels of cytokines and respond to stimuli, such as antibodies and target cells, producing higher levels of cytokines than TALL-104 grown in IL-2, with the exception of gamma interferon (IFN- $\gamma$ ), which is produced in higher levels by stimulation of TALL-104 cells in IL-2. The same results were obtained with TALL-103/2 cells.

Therefore, according to one embodiment of this invention, TALL-104 cells may be grown in IL-15 simply to increase the yield thereof, and then grown in IL-2 to reproduce the IL-2 cytotoxic phenotype previously used in clinical therapies for cancer. Alternatively, one may grow TALL-104 cells in IL-15 and use the IL-15 phenotype where

enhanced adhesion to endothelium is desired in some clinical applications. The inventors have determined that one may reversibly switch the IL-15 and IL-2 phenotypes of TALL-104 by sequential growth of the cells in one and then the other of these two cytokines, as desired. The biodistribution of the TALL-104 cells may also be affected differently by the two cytokines, based on the different levels of expression of adhesion molecules.

In yet another embodiment of this invention, TALL-103/2 cells may also be modified by stimulation in IL-15. In this instance, the TALL-103/2 cells will grow more rapidly in culture (Fig. 11) when stimulated with IL-15. Most significantly, when TALL 103/2 cells are stimulated in IL-15, their target recognition expands, and these cells may then be used against more tumor cell types. For example, Fig. 12 shows the results of stimulation of TALL 103/2 cells with IL-15 vs. IL-2. The IL-15 TALL 103/2 cells are able to recognize and kill HL60 and Daudi cells, against which the IL-2 stimulated TALL 103/2 cells were not cytotoxic. Additionally, the stimulation of the TALL 103/2 cells with IL-15 alters the cytokine production by the cells. See, for example, Figs. 12-16, which showing cytokines that are likely to be involved in the anti-tumor activity of the killer cells. Thus, changing the cytokine profile can result in clinical changes, both in terms of efficacy and/or toxicity.

Based on the effects that IL-15 has on these two cytotoxic T cell lines, it is anticipated that similar effects may be obtained with other cytotoxic T cell lines. Thus, IL-15 may be employed in a method for reversibly altering the phenotype of cytotoxic T cells by culturing said cells in IL-15, thereby obtaining a high yield of a cell having a first phenotype; followed by culturing these cells in IL-2, thereby altering the first phenotype to a second phenotype. The second phenotype may be returned to the first phenotype by further culturing in IL-15 again, if desired. The IL-15 phenotypes are characterized by enhanced growth

kinetics, increased cytotoxicity, enhanced cytokine production, and, likely, increased adhesion to vasculature.

These IL-15 stimulated cytotoxic T cells may then be employed in methods for *in vivo* and *ex vivo* therapy of cancer, and for other uses for which TALL-104 cells are known, as described in the US patents incorporated by reference above. These modified cells may also be employed as research reagents, as reagents for screening the effect of proposed developmental drugs on their cytotoxic activity, as reagents for the study of their expression of adhesion molecules or cell surface markers, as well as for the production of cytokines or other biological molecules expressed by the modified cells.

The following examples demonstrate the effect of IL-15 on TALL-104 cells and TALL-103/2 cells. These examples illustrate the preferred methods of the invention. These examples are illustrative only and do not limit the scope of the invention.

#### EXAMPLE 1 - GROWTH OF TALL-104 CELLS IN IL-15

TALL-104 cells were grown in endotoxin-free Iscove's modified Dulbecco's medium (Gibco-BRL, Grand Island, NY) supplemented with 10% heat-inactivated fetal bovine serum (Sigma) and 100 U/ml rhIL-2 (Chiron Therapeutics, Emeryville, CA) or rh IL-15 (1-5 µg/ml) [R& D Systems] in a humidified incubator at 37°C with 10% CO<sub>2</sub> in tissue culture for two weeks.

The cells were then examined for differences in characteristics such as growth, phenotype, cytokine profile, and cytotoxicity, biodistribution and tumor target spectrum of the cultures, and the results were reported in Figs. 1-10.

##### *A. Proliferation*

As shown in Fig. 1, TALL-104 cells grown in the plateau dose (5 ng/ml) of IL-15 proliferate *in vitro* faster than do the same cells grown in the plateau dose (100 U/ml) of IL-2.



### B. Target Spectrum

In another experiment, the IL-2- or IL-15-treated TALL-104 cells were expanded *in vivo* in SCID mice and re-adapted to tissue culture conditions. IL-15 induced quicker differentiation of TALL-104 cells into cytotoxic cells in comparison to the effects of IL-2. Undifferentiated TALL-104 cells, extracted from SCID mouse spleens, were cultured for one week with either IL-2 or IL-15, and then tested for cytotoxicity against either K562 or Raji tumor cells. The IL-2-treated TALL-104 cells were only marginally ( $\leq 10\%$ ) cytotoxic, as demonstrated by percent lysis of the tumor cells, for K562 cells. In contrast, the TALL-104 cells stimulated with IL-15 lysed about 40% more K562 tumor cells. The IL-2-treated cells lysed no Raji cells, whereas the IL-15 treated TALL-104 cells lysed almost 60% of these cells. See Fig. 2. The IL-15 treated cells also showed higher levels of cytotoxic molecules, such as perforin, serine esterases (SE) and TIA-1, an apoptosis inducing molecule.

### C. Phenotype

TALL-104 cells treated with IL-15 express higher levels of the cytotoxic/adhesion/activation marker CD56, both as percent of positive cells in the total TALL-104 population and at the single cell level, as the number of molecules present on each cell (higher density), than do TALL-104 cells treated with IL-2. See Fig. 3.

Undifferentiated TALL-104 cells freshly obtained from the SCID mice have a high expression of CD2. With time in culture, the CD2 surface levels decline. However, this decline when compared for TALL-104 cells grown in IL-2 or IL-15 as described above, was demonstrated to be slower in the IL-15 stimulated cells. See Fig. 4.

TALL-104 cells grown in IL-15 were also shown to have lower expression of the adhesion molecule CD38 than TALL-104 cells grown in IL-2. See Fig. 5.

*D. Cytokine Profile*

The TALL-104 cells, grown in either IL-2 or IL-15 as above, were stimulated to trigger cytokine production with OKT3 (anti-CD3), Moon-1 (anti-CD31), or IB4 (anti-CD38) monoclonal antibodies or by exposure to K562 cells.

As seen in Fig. 6, TALL-104 cells grown in IL-15 have a baseline level of GM-CSF production, and respond to OKT3 monoclonal antibody with a significantly higher production of GM-CSF than cells grown in IL-2.

As seen in Fig. 7, TALL-104 cells grown in IL-15 have a baseline level of IL-10 production, and respond to OKT3 monoclonal antibody with significantly higher production of IL-10 than cells grown in IL-2.

As seen in Fig. 8, TALL-104 cells grown in IL-15 have a baseline level of TNF- $\alpha$  production, and respond to OKT3 monoclonal antibody with higher production of TNF- $\alpha$  than cells grown in IL-2.

As seen in Fig. 9, TALL-104 cells grown in IL-15 have a baseline level of TNF- $\beta$  production, and respond to OKT3 monoclonal antibody with higher production of TNF- $\beta$  than cells grown in IL-2.

As seen in Fig. 10, TALL-104 cells grown in IL-15 have a baseline level of IFN- $\gamma$  production, and respond to OKT3 monoclonal antibody with lower production of IFN- $\gamma$  than cells grown in IL-2.

EXAMPLE 2 - GROWTH OF TALL-103/2 CELLS IN IL-15

TALL-103/2 cells were grown in endotoxin-free Iscove's modified Dulbecco's medium (Gibco-BRL, Grand Island, NY) supplemented with 10% heat-inactivated fetal bovine serum (Sigma) and 100 U/ml rhIL-2 (Chiron Therapeutics, Emeryville, CA) or rh IL-15 (1-5  $\mu$ g/ml) [R&D Systems] in a humidified incubator at 37°C with 10% CO<sub>2</sub> in tissue culture for two weeks. The cells were then examined for differences in

characteristics such as growth, phenotype, cytokine profile, and cytotoxicity, biodistribution and tumor target spectrum of the cultures, and the results were reported in Figs. 1-10.

A. *Phenotype*

5 The surface phenotype of the cultured cells were compared to determine the effect of the two cytokines. The results are reported below in Table I as % positive cells; the mean fluorescence intensity (on a scale with the upper limit of 200) is in parentheses and provides an indication of the antigen density, i.e., the number of molecules/cell.

TABLE I

Cell Surface Antigen	IL-2 Treated TALL-103/2	IL-15 Treated TALL-103/2
CD3	96.5 (92)	35.6 (62)
CD2	53.5 (75)	43.5 (61)
CD4	4.4 (60)	15 (62)
CD8	90.1 (131)	78.9 (113)
CD56	41.6 (60)	67.4 (123)
LFA-3	99.3 (125)	88 (118)
ICAM-1	71.5 (84)	44.9 (81)
CD45RO	81.3 (83)	72.2 (86)
CD38	70.5 (74)	32.5 (62)
CD31	35.6 (61)	2.3 (66)

B. *Proliferation*

In <sup>3</sup>H-TdR proliferation assays, TALL-103/2 cells grown in IL-15 showed greater proliferation than the cells grown in IL-2 at stimulating cytokine doses greater than 1 ng/ml IL-15. See Fig. 11.

### C. Target Spectrum

TALL-103/2 cells were cultured for a week in either IL-15 or IL-2, and then exposed to K562 tumor cells, HL60 tumor cells or Daudi tumor cells. Cytotoxicity was measured by % lysis of the target cells in  $^{51}\text{Cr}$  release assays. As demonstrated in Fig. 12, the IL-15 treated cells caused lysis of all three tumor cell types. The IL-2 treated cells were cytotoxic only for the K562 cells. Thus, the method of this invention supported the cytotoxic phenotype of TALL-103/2 cells and broadened the spectrum of target recognition by these cells.

### D. Cytokine Profile

The IL-2-treated and IL-15-treated TALL-103/2 cells were also evaluated for dose-dependent cytokine production. As revealed by Figs. 13-16, the IL-15 induces production of cytokines from the cells, which is different from that produced by stimulating the cells with IL-2.

IL-2 stimulation induces better expression of IFN $\gamma$  in TALL-103/2 cells, than does IL-15 stimulation (Fig. 13).

IL-15 induces higher levels of TNF- $\beta$  at the concentration of 10  $\mu\text{g/ml}$  (Fig. 14), than does IL-2 stimulation.

Similar levels of TNF- $\alpha$  are induced by the two cytokines (Fig. 15).

IL-15-stimulated TALL-103/2 cells produce greater amounts of IL-10 at concentrations over 10  $\text{ng/ml}$  IL-15 (Fig. 16).

Numerous modifications and variations of the present invention are included in the above-identified specification and are expected to be obvious to one of skill in the art. Such modifications and alterations to the methods of the present invention are believed to be encompassed in the scope of the claims appended hereto.

Applicant's or agent's file reference number	WST88PCT	International application No.
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## INDICATIONS RELATING TO A DEPOSITED MICROORGANISM

(PCT Rule 13bis)

A. The indications made below relate to the microorganism referred to in the description on page <u>10</u> , line <u>2</u>	
B. IDENTIFICATION OF DEPOSIT TALL-104 cell lines Further deposits are identified on an additional sheet <input type="checkbox"/>	
Name of depositary institution American Type Culture Collection	
Address of depositary institution (including postal code and country) 10801 University Boulevard Manassas, Virginia 20110-2209 USA	
Date of deposit June 15, 1998	Accession Number CRL 11386
C. ADDITIONAL INDICATIONS (leave blank if not applicable) This information is continued on an additional sheet <input type="checkbox"/>	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable)	
The indications listed below will be submitted to the International Bureau later (specify the general nature of the indications e.g., "Accession Number of Deposit")	

<input checked="" type="checkbox"/> For receiving Office use only This sheet was received with the international application	<input type="checkbox"/> For International Bureau use only This sheet was received by the International Bureau on:
Authorized officer <b>MISTY WALKER</b> <b>INTERNATIONAL DIVISION</b> (903) 305-3682	Authorized officer

WHAT IS CLAIMED IS:

1. A method of modifying cytotoxic T cells while retaining the cytotoxicity of the cells comprising the steps of:

- (a) culturing said cells in an effective amount of IL-15;
- (b) culturing the IL-15 stimulated cells in an effective amount of IL-2; and
- (c) optionally repeating steps (a) and (b) a selected number of times, wherein said modified cells demonstrate a change in at least one characteristic selected from the group consisting of increased proliferation, differentiation, growth, phenotype, adhesion molecule expression, biodistribution, cytokine production profile, level of cytotoxic activity, and tumor target spectrum.

2. The method according to claim 1 wherein said cytotoxic T cells are TALL-104 cells.

3. The method according to claim 1 wherein said cytotoxic T cells are TALL-103/2 cells.

4. A method of modifying a cytotoxic T cells while retaining the cytotoxicity of the cells comprising the steps of:

- (a) culturing said cells in an effective amount of IL-2;
- (b) culturing the IL-2 stimulated cells in an effective amount of IL-15 and
- (c) optionally repeating steps (a) and (b) a selected number of times, wherein said modified cells demonstrate a change in at least one characteristic selected from the group consisting of increased proliferation,

differentiation, growth, phenotype, adhesion molecule expression, biodistribution, cytokine production profile, level of cytotoxic activity and tumor target spectrum.

5. The method according to claim 4 wherein said cytotoxic T cells are TALL-104 cells.

6. The method according to claim 5 wherein said cytotoxic T cells are TALL-103/2 cells.

7. A method of modifying TALL-104 cells comprising:  
culturing TALL-104 cells in an effective amount of IL-15, wherein said cells grow at a rate faster than when stimulated by IL-2, and have an altered phenotypic, cytotoxic and cytokine profile.

8. The method according to claim 7 wherein said modified cells have an increased level of cytotoxicity.

9. The method according to claim 7, wherein said modified cells demonstrate a change in a characteristic selected from the group consisting of increased proliferation, differentiation, growth, phenotype, adhesion molecule expression, biodistribution, cytokine production profile, and tumor target spectrum.

10. The method according to claim 9 wherein said cytokine profile comprises increased expression of a cytokine selected from the group consisting of IL-10, GM-CSF, TNF- $\alpha$  and TNF- $\beta$

11. The method according to claim 9 wherein said cytokine profile comprises decreased expression of gamma interferon.

12. The method according to claim 9 wherein said phenotype comprises increased expression of the cytotoxic adhesion/activation marker CD56.

13. The method according to claim 9 wherein said phenotype comprises decreased expression of the adhesion molecule CD38.

14. A method for increasing the levels of cytotoxic activity and spectrum of tumor target recognition and growth of TALL-103/2 cells comprising culturing TALL-103/2 cells in an effective amount of IL-15, wherein said cells grow at a rate faster and have an expanded target cytotoxicity than when stimulated by IL-2.

15. The method according to claim 14, wherein said modified cells demonstrate a change in a characteristic selected from the group consisting of increased proliferation, differentiation, growth, phenotype, adhesion molecule expression, biodistribution, cytokine production profile, and tumor target spectrum.

16. Modified cytotoxic T cells produced by stimulating said cells in an effective amount of IL-15.

17. The cells according to claim 16 selected from the group consisting of TALL-104 cells and TALL-103/2 cells.

18. Modified cytotoxic T cells produced by the method of claim 1.

19. The cells according to claim 18 selected from the group consisting of TALL-104 cells and TALL-103/2 cells.



20. Modified cytotoxic T cells produced by the method of claim 4.

21. The cells according to claim 20 selected from the group consisting of TALL-104 cells and TALL-103/2 cells.

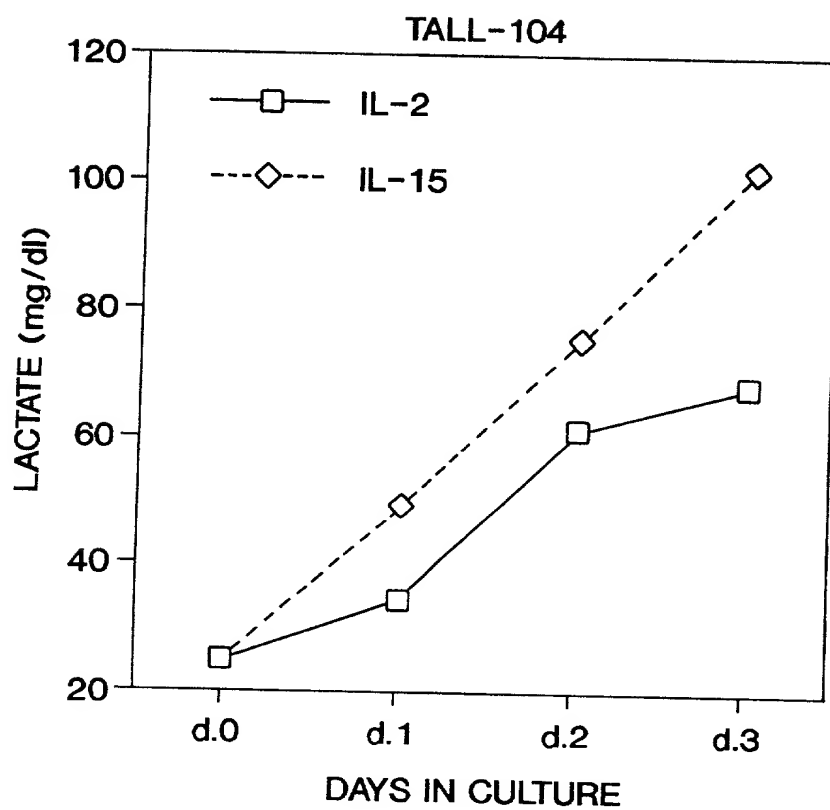


FIG. 1

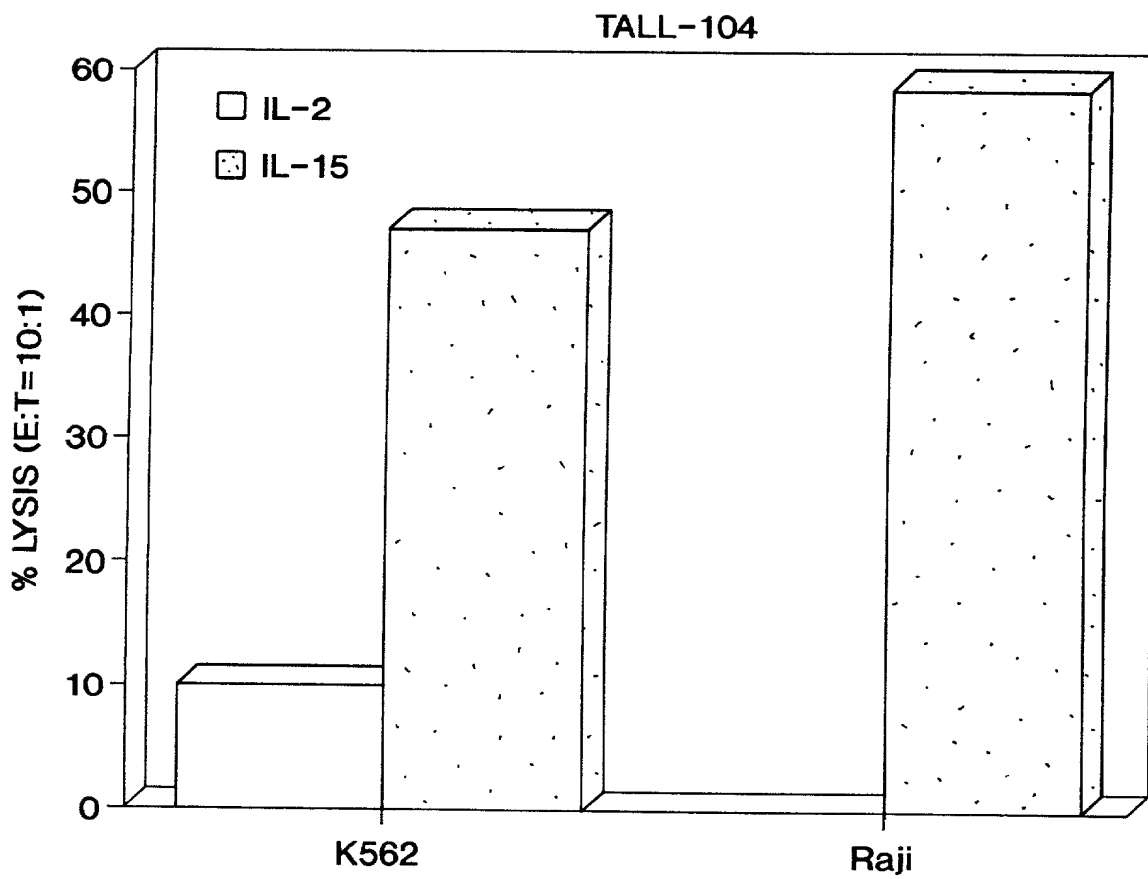


FIG. 2

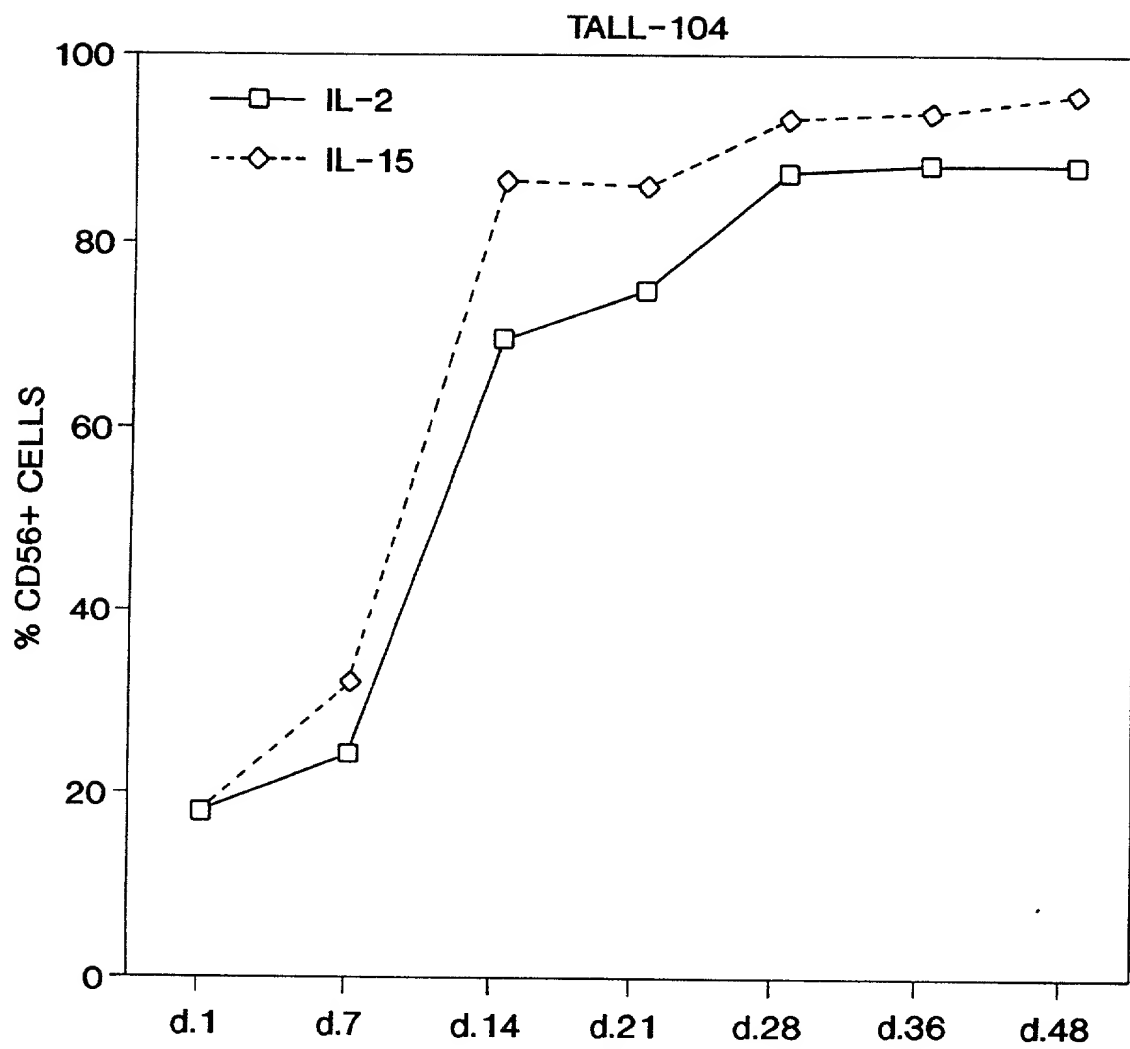


FIG. 3

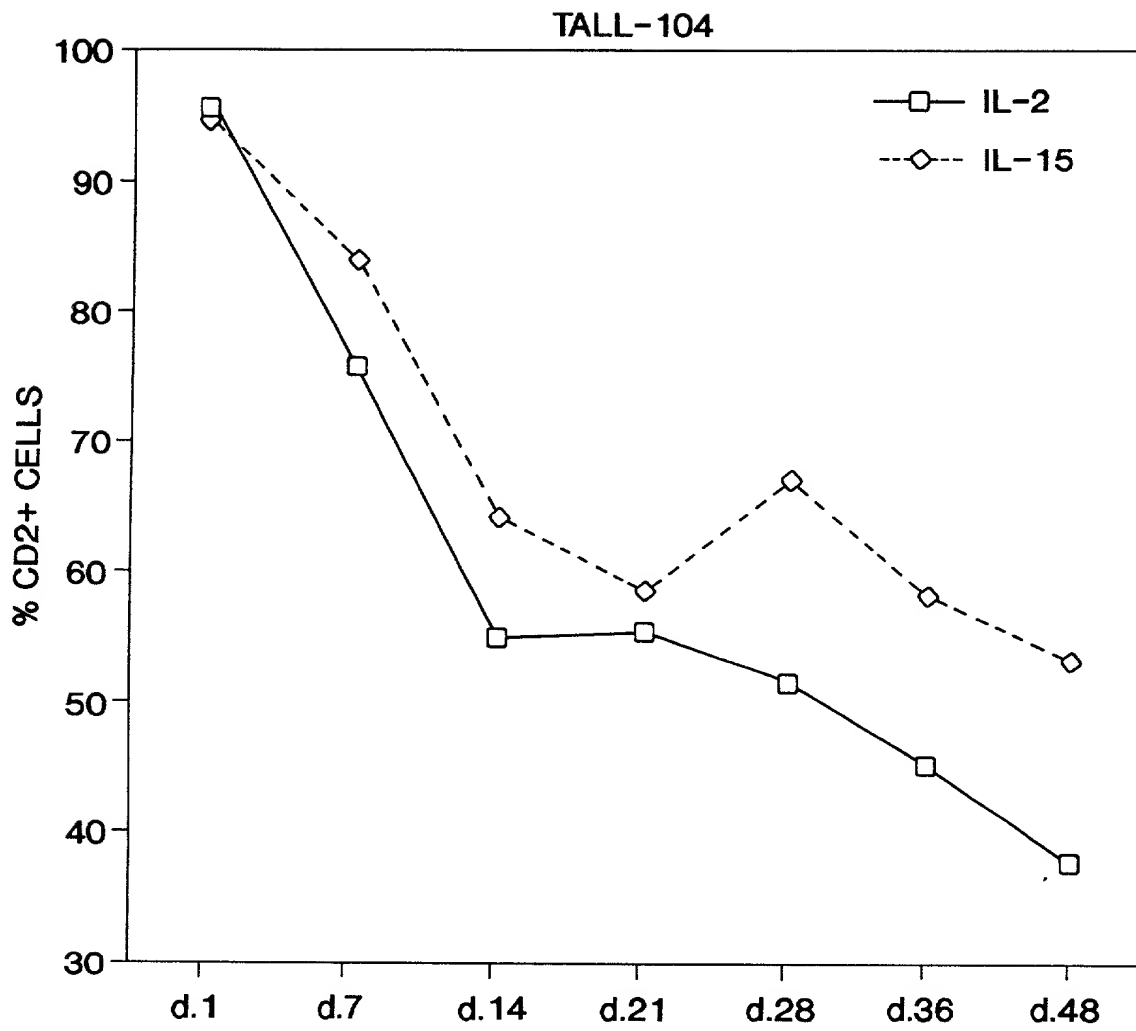


FIG. 4

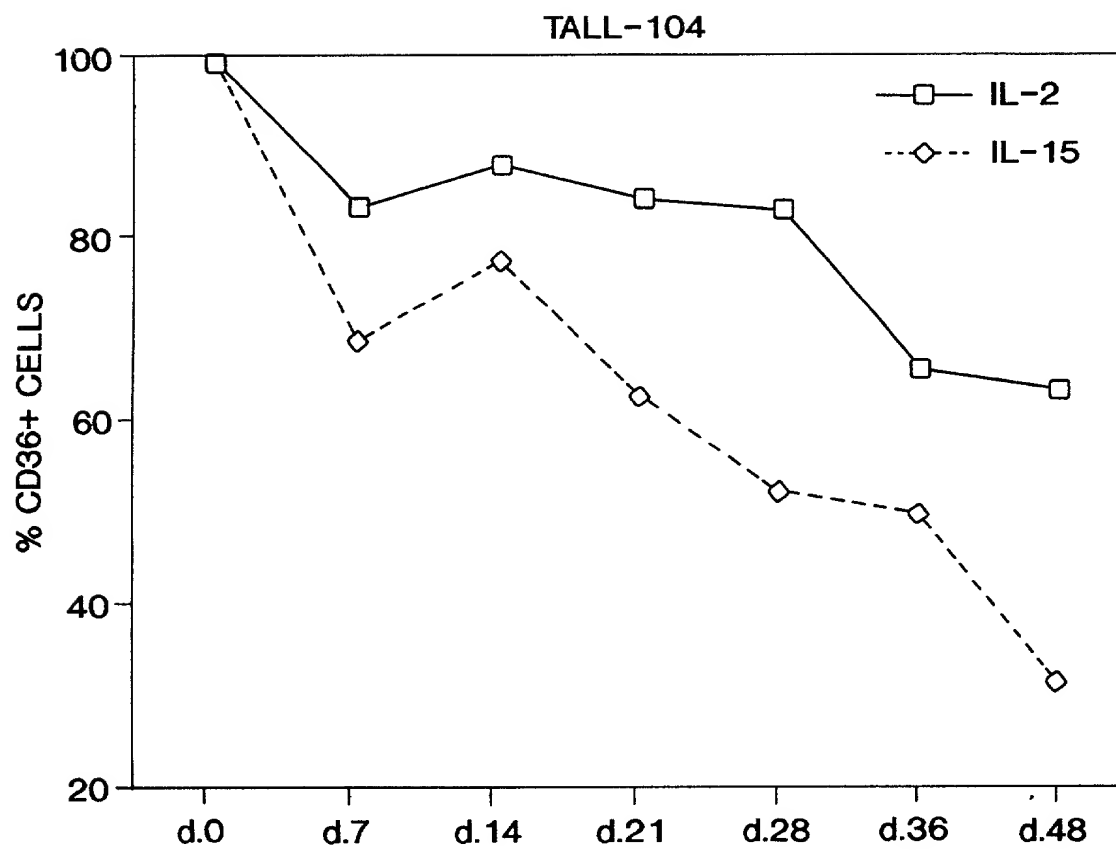


FIG. 5

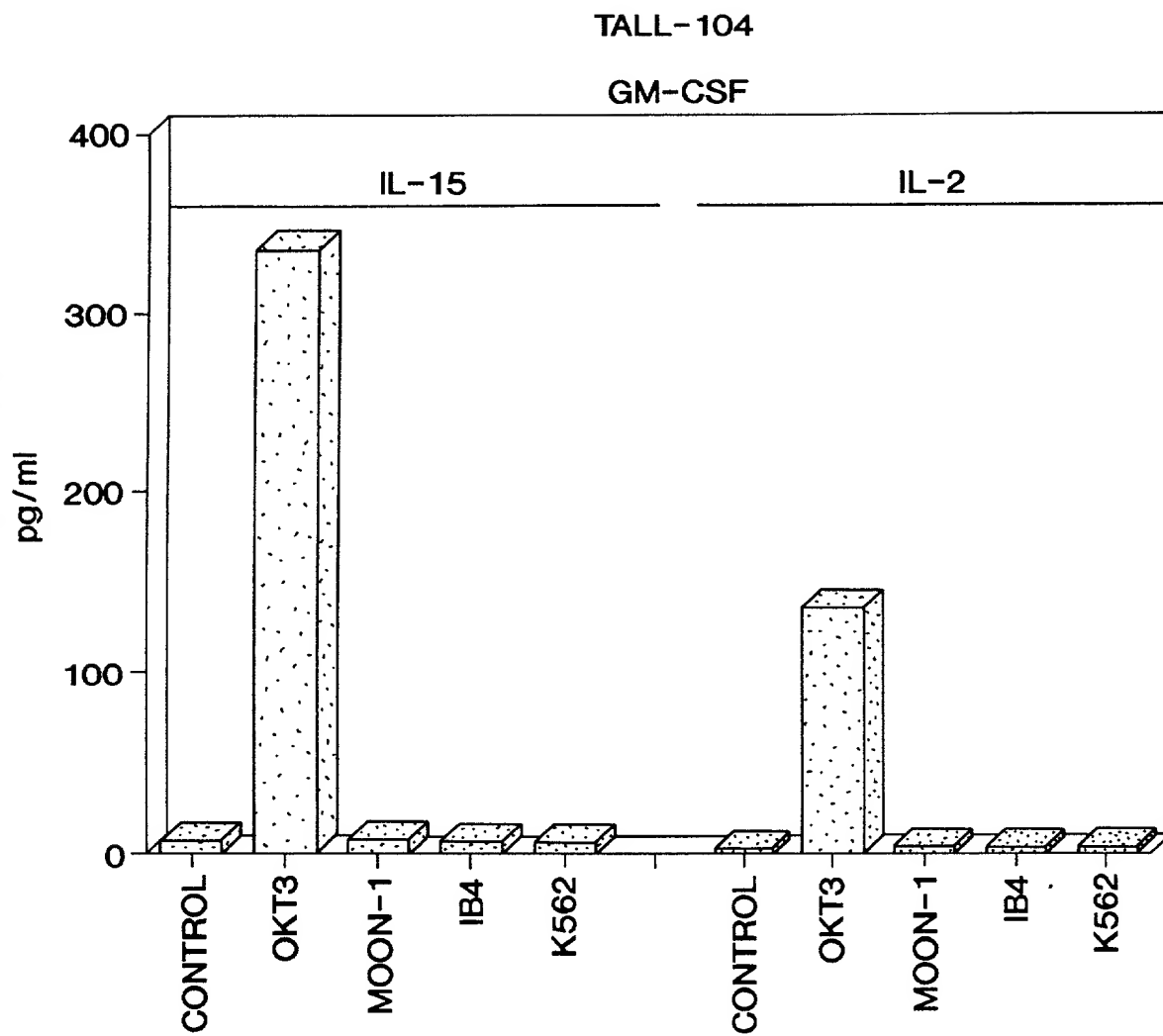


FIG. 6

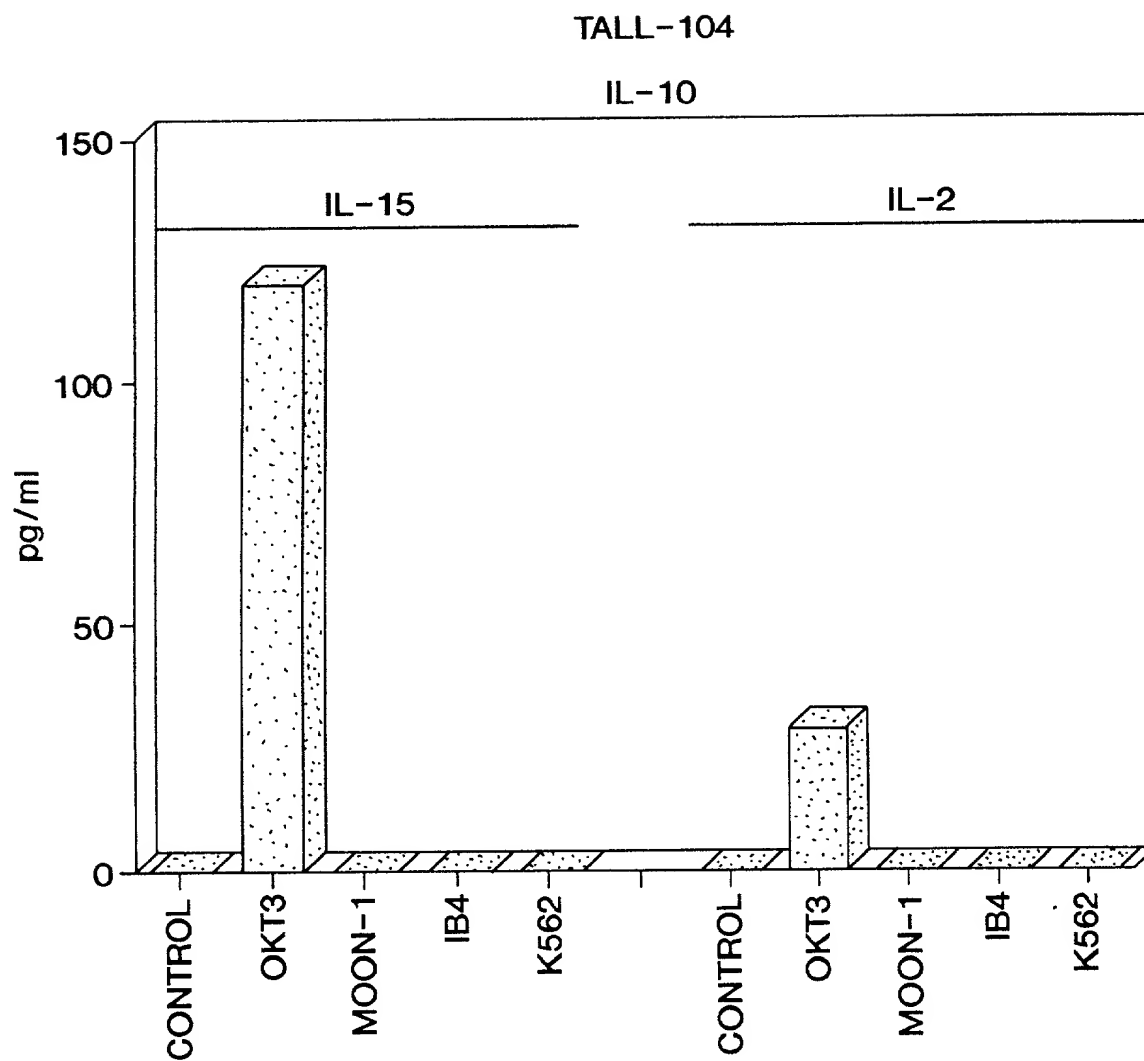


FIG. 7



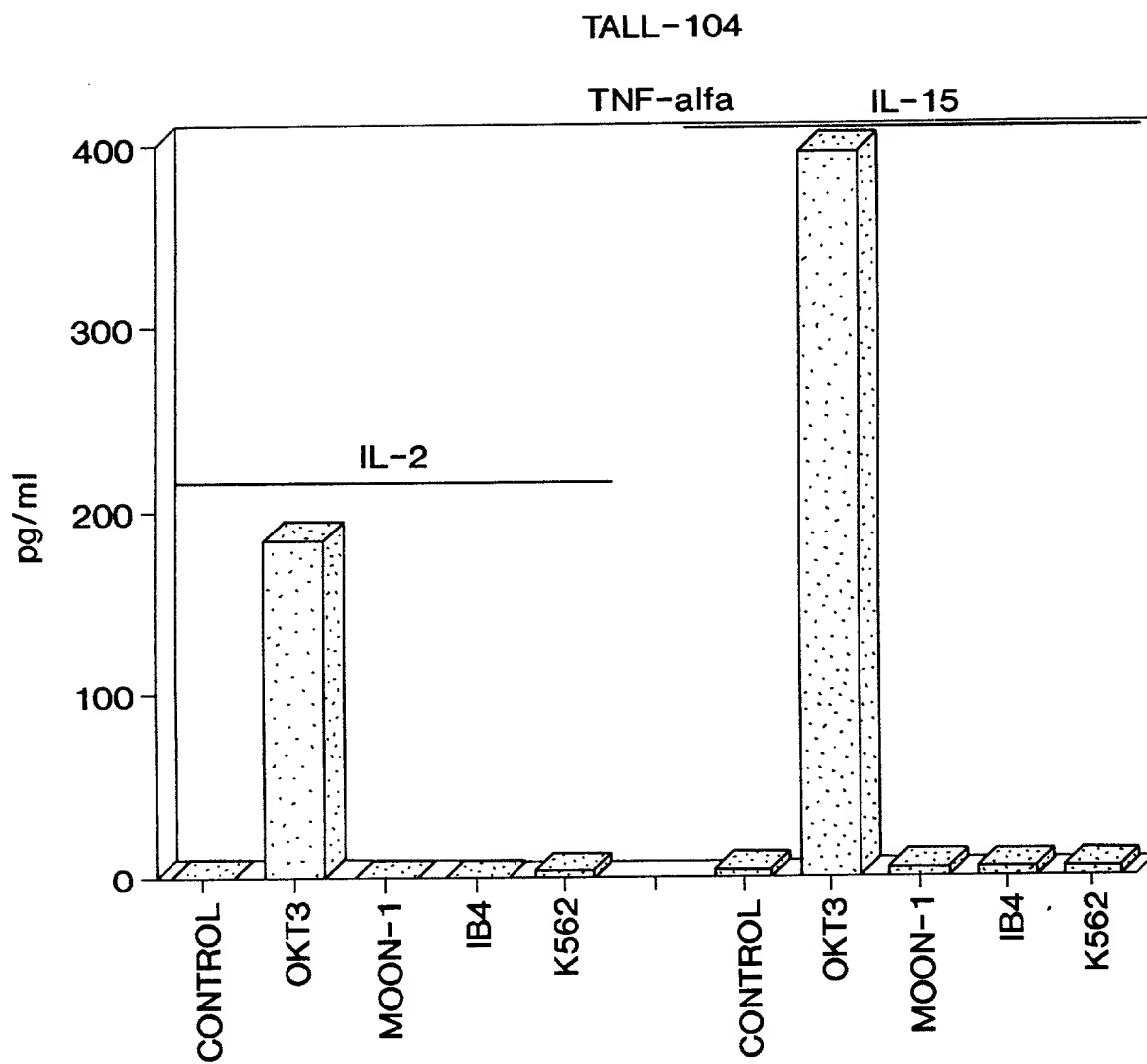


FIG. 8

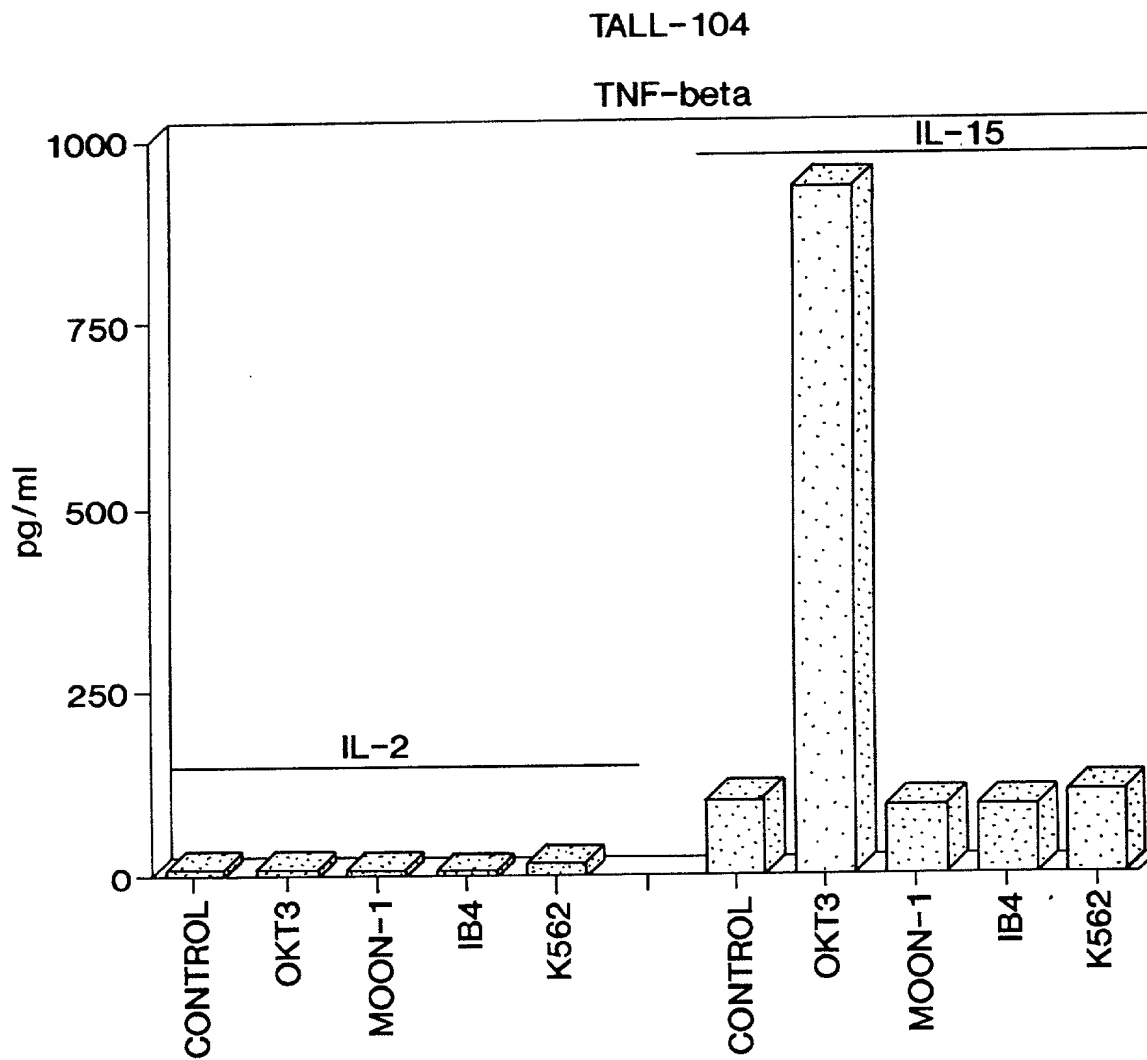


FIG. 9

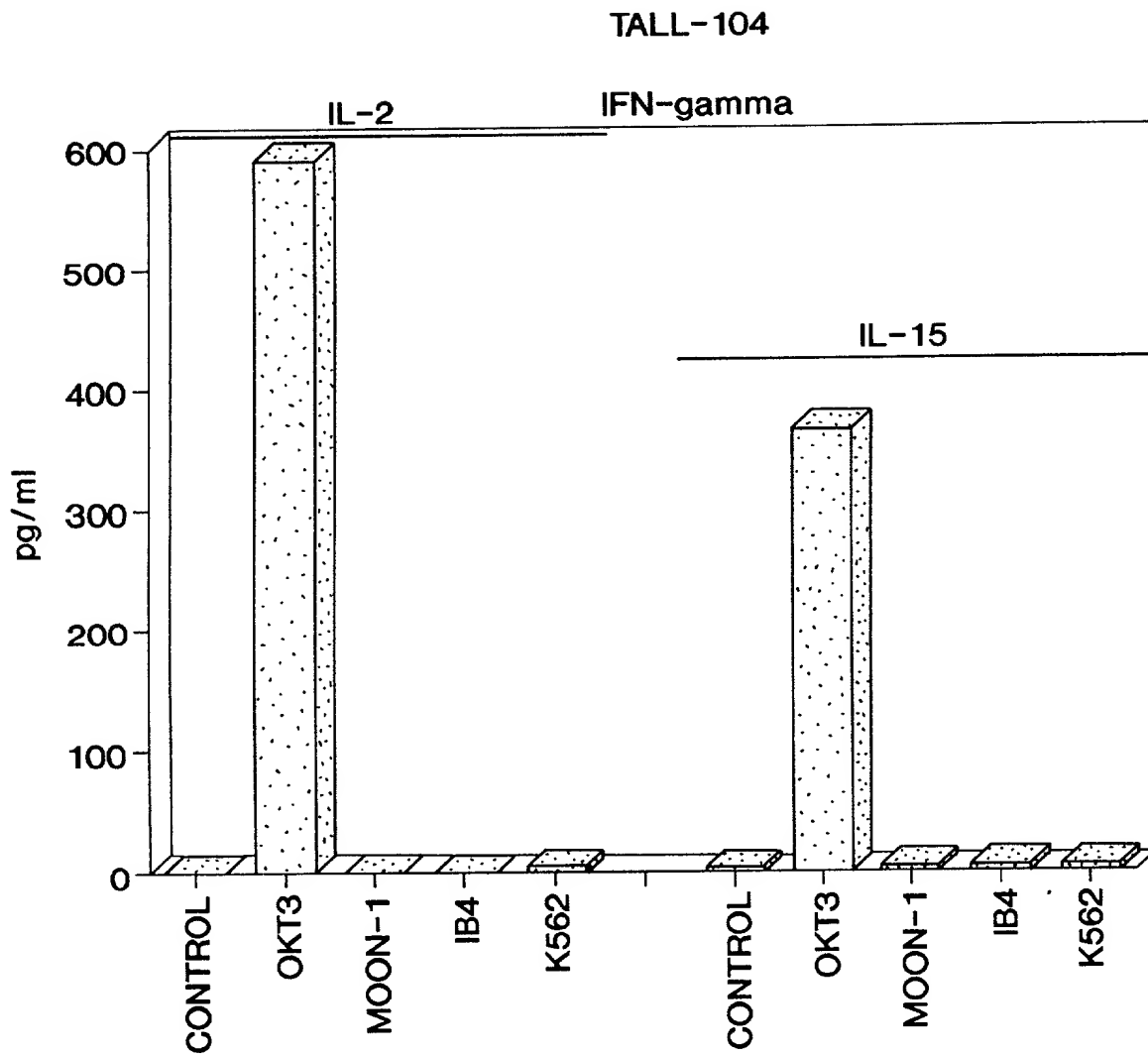


FIG. 10

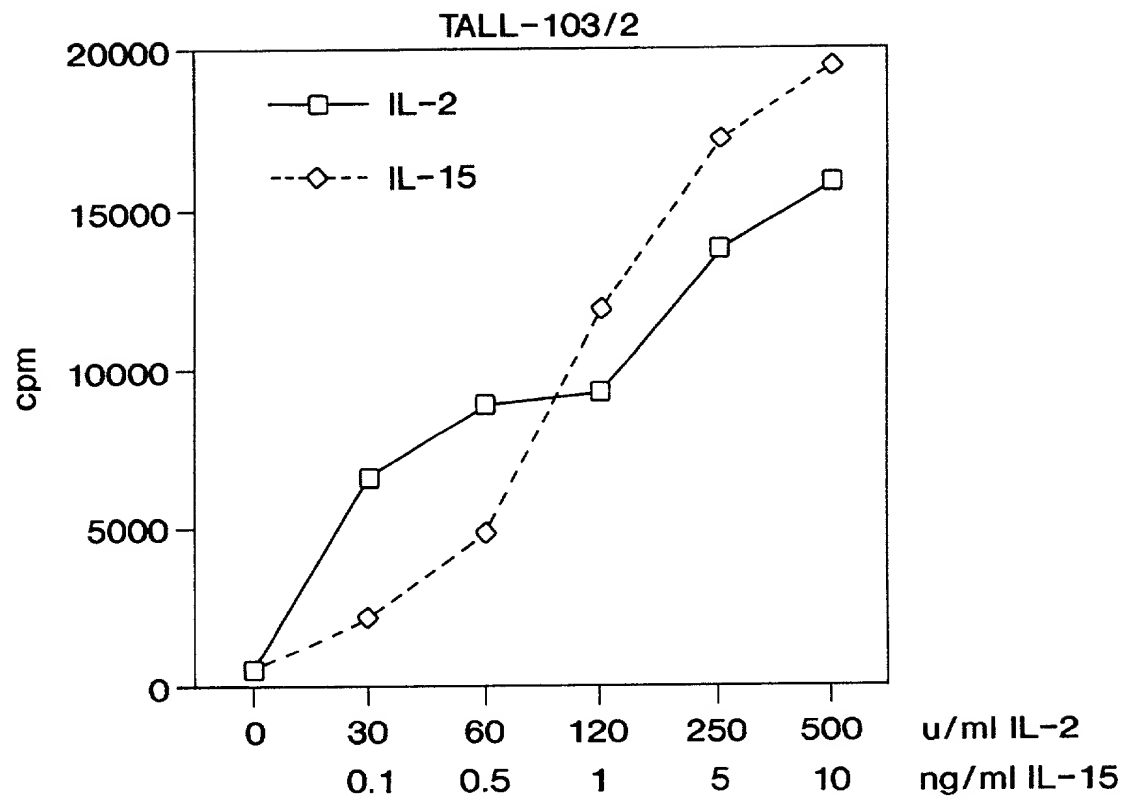


FIG. 11

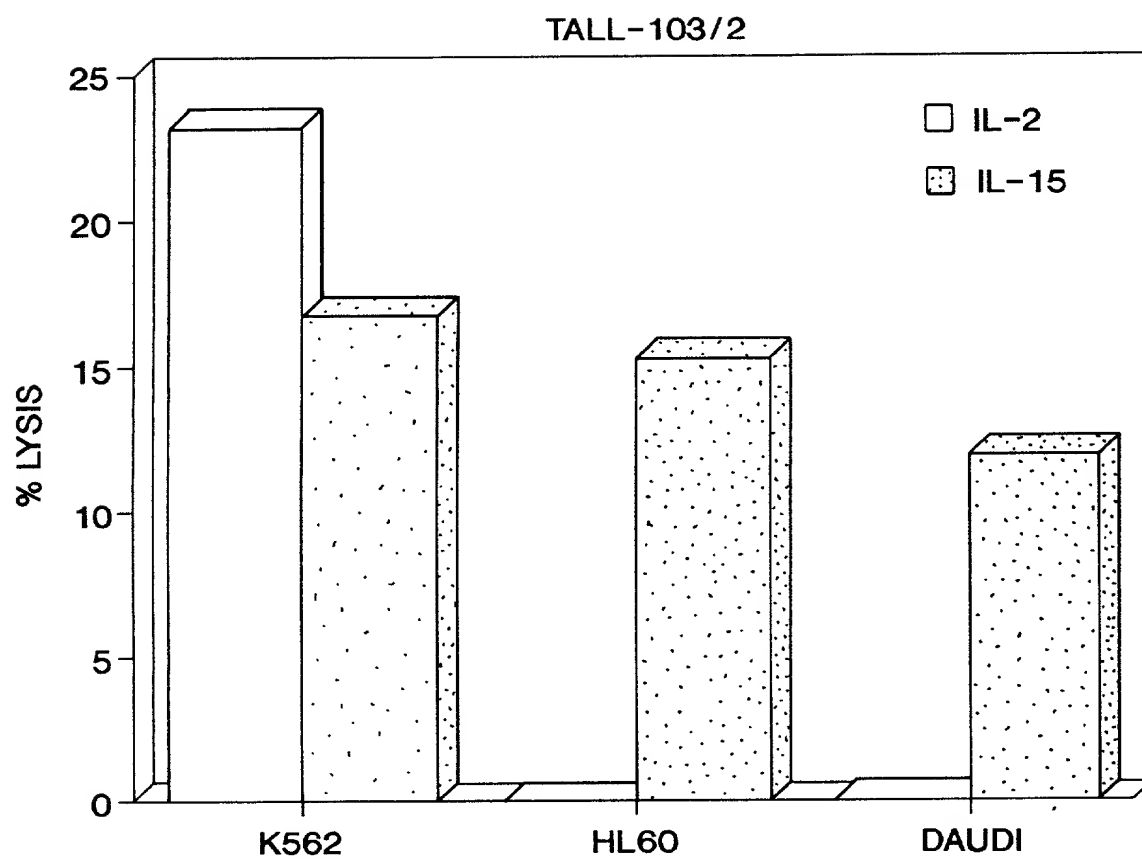


FIG. 12

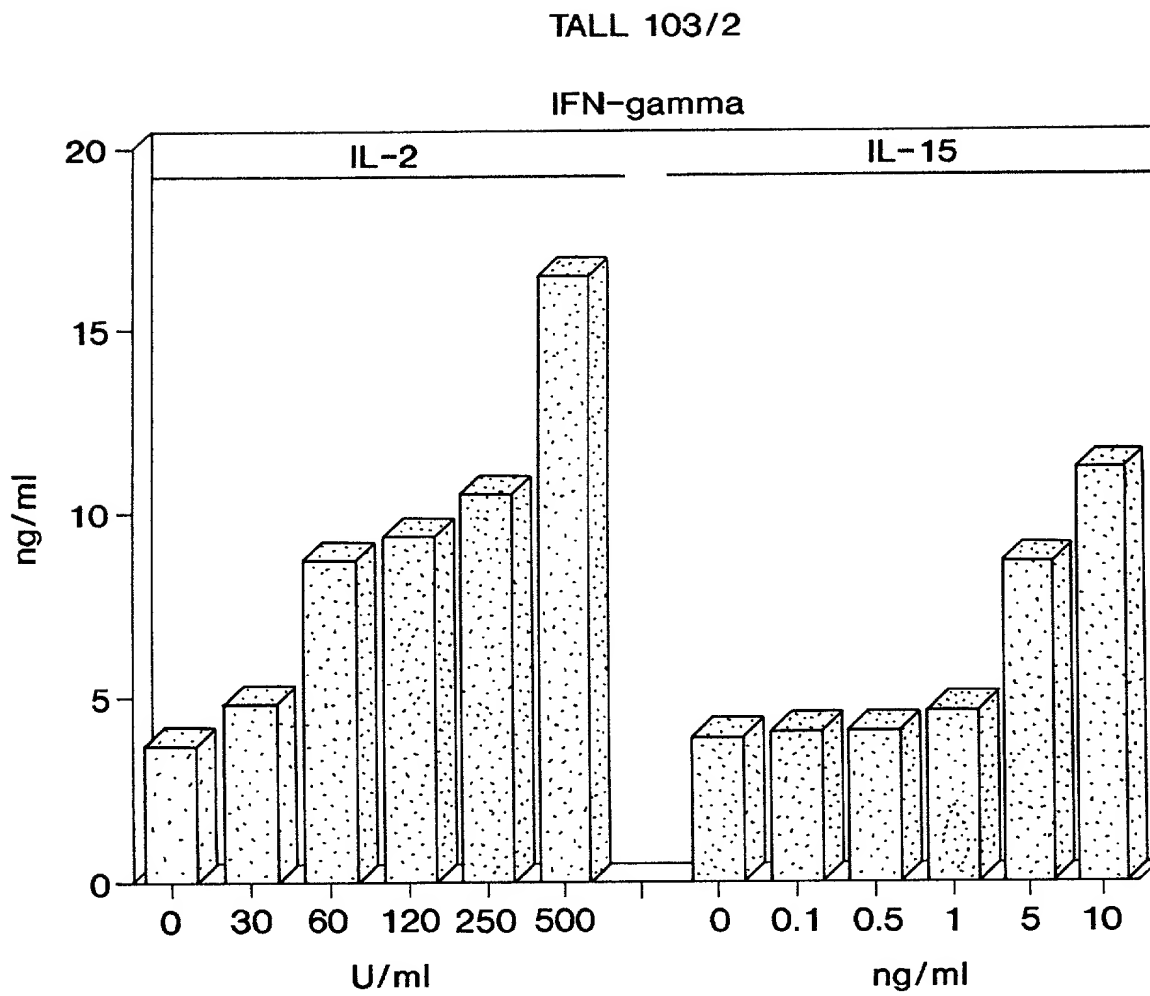


FIG. 13

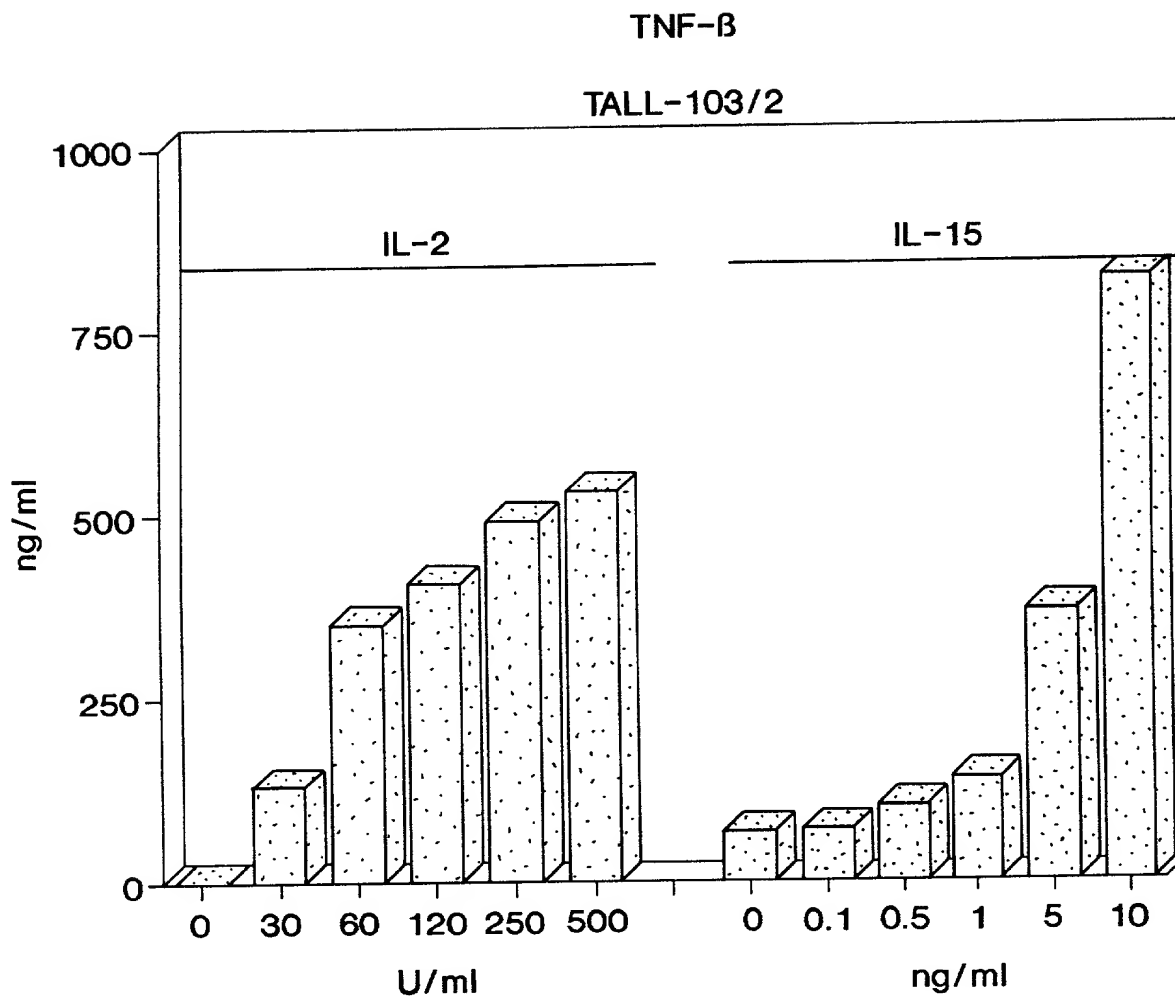


FIG. 14

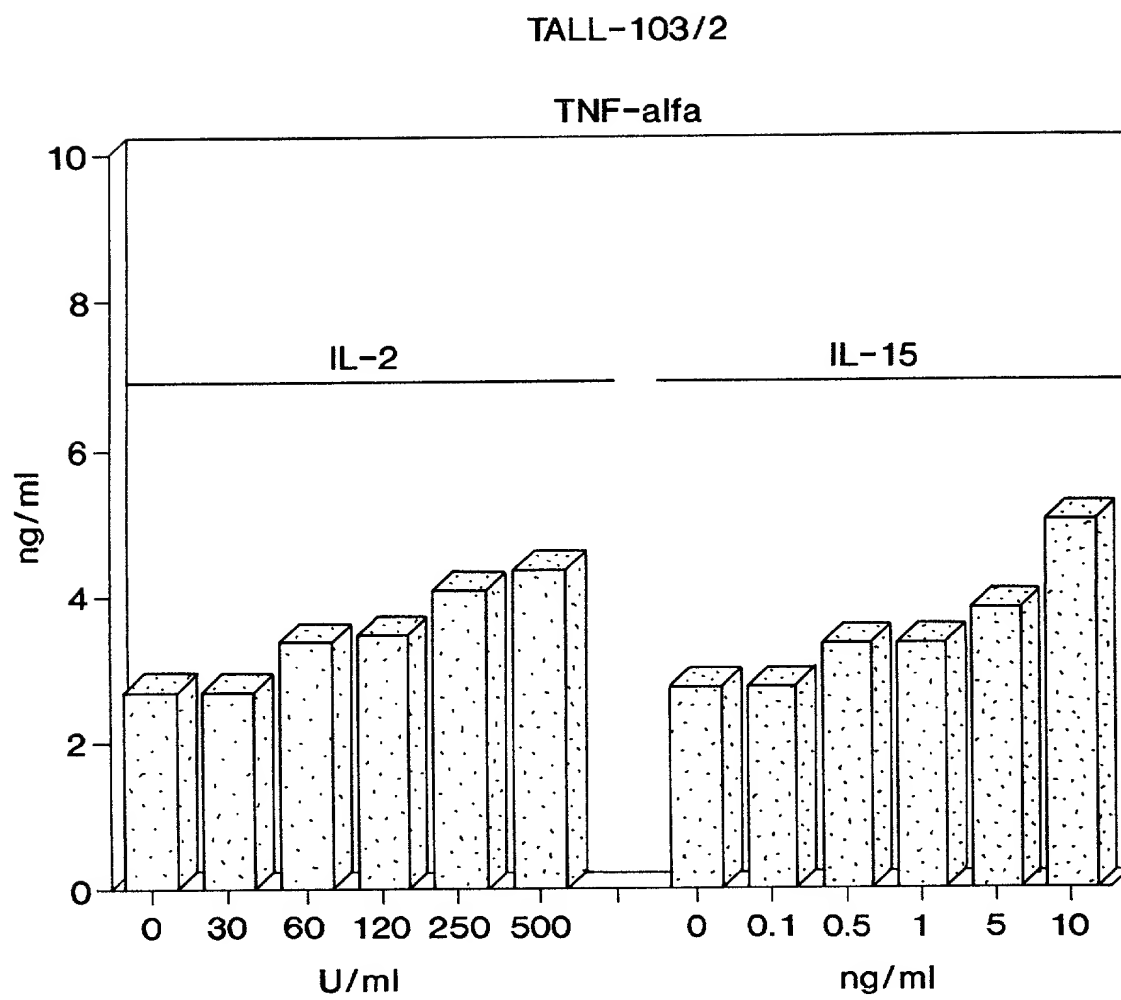


FIG. 15



TALL-103/2

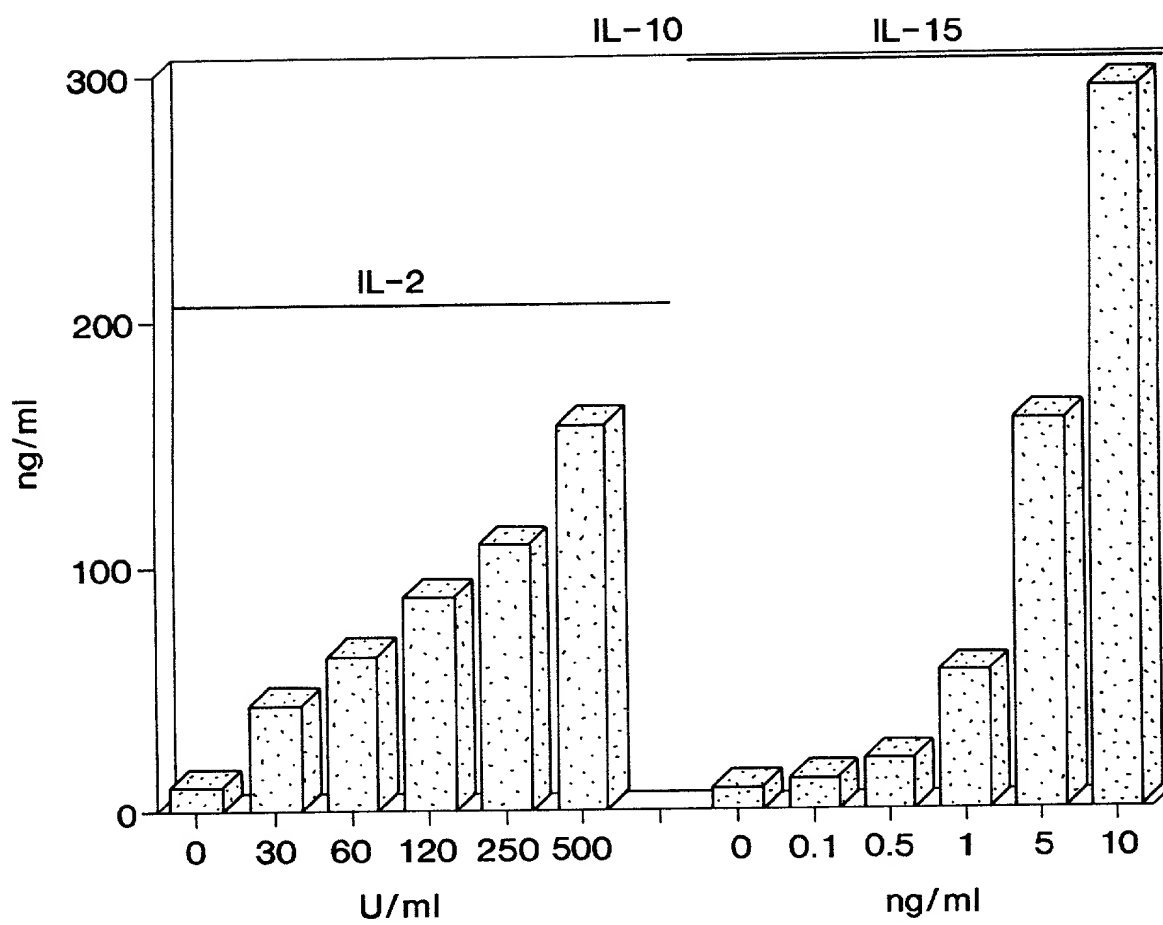


FIG. 16

DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD OF MODIFYING CYTOTOXIC CELLS AND USES THEREOF, the specification of which is attached hereto and was filed as PCT International Patent Application No. PCT/US00/04548, on February 23, 2000.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Not Claimed	Certified Copy Attached?	
(Number)	(Country)	(MM/DD/YYYY)		Yes	No

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

60/121,482  
(Application Number)

February 24, 1999  
(Filing Date, MM/DD/YYYY)

I hereby appoint the following attorneys and agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

7. STANLEY B. KITA, Registration No. 24,561; GEORGE A. SMITH, JR.,  
Registration No. 24,442; MARY E. BAK, Registration No. 31,215; CATHY A.  
KODROFF, Registration Number 33,980; WILLIAM BAK, Registration Number  
37,277; HENRY HANSEN, Registration No. 19,612, and TRACY U. PALOVICH,  
Registration No. 47,840.

Address all telephone calls to Mary E. Bak at telephone no. (215) 540-9206. Address  
all correspondence to HOWSON AND HOWSON, Spring House Corporate Center,  
P. O. Box 457, Spring House, Pennsylvania 19477.

I hereby declare that all statements made herein of my own knowledge are true and  
that all statements made on information and belief are believed to be true; and further  
that these statements were made with the knowledge that willful false statements and  
the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C.  
1001 and that such willful false statements may jeopardize the validity of the  
application or any patent issued thereon.

Full name of first inventor: Daniela Santoli

Inventor's signature Daniela Santoli

8/1/01  
Date

Residence: Bryn Mawr, Pennsylvania 19010

Citizenship: United States of America

Post Office Address: 933 Wootton Road, Bryn Mawr, Pennsylvania 19010

Full name of second inventor: Giovanni Rovera

Inventor's signature Giovanni Rovera

8/13/01  
Date

Residence: Bryn Mawr, Pennsylvania 19010

Citizenship: United States of America

Post Office Address: 933 Wootton Road, Bryn Mawr, Pennsylvania 19010

Full name of third inventor: Alessandra Cesano

Inventor's signature Alessandra Cesano

8/16/01  
Date

Residence: Newburt Park, California 91320

Citizenship: Italy

Post Office Address: #217, 587 North Ventu Park Road, Suite F,  
Newburt Park, California 91320